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| FORM PTO-1390  |  | U.S. Department of Commerce Patent and Trademark Office |  | Attorney's Docket No.                           |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b>  |  |   |  | 3225-102 <i>JF3</i>                             |
|  |  |   |  | U.S. Application No. (if known, see 37 CFR 1.5) |
|  |  |   |  | 10/510,640                                      |
| INTERNATIONAL APPLICATION NO.<br>PCT/SG2003/000290   |  | INTERNATIONAL FILING DATE<br>December 23, 2003          |  | PRIORITY DATE CLAIMED                           |
| <b>TITLE OF INVENTION</b><br>METHOD OF ETCHING A SEMICONDUCTOR DEVICE  |  |   |  |   |
| <b>APPLICANT(S) FOR DO/EO/US</b><br>Khim Hong NG and Chin Ling PONG  |  |   |  |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |   |  |   |
| <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371</li> <li>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> |  |   |  |   |
| <b>ITEMS 11. TO 20. below concern other document(s) or information included:</b>   |  |   |  |   |
| <ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 w/ International Search Report (3 pages)</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input type="checkbox"/> Other items or information:</li> </ol>   |  |   |  |   |

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| U.S. APPLICATION NO. (If known, see 37 CFR 1.50)<br>10/510, 640   | INTERNATIONAL APPLICATION NO.<br>PCT/SG2003/000290 | ATTORNEY DOCKET NO.<br>3225-102 |           |          |
| 21. [ X ] The following fees are submitted:<br>Basic National Fee (37 CFR 1.492)(a)(1)-(5):<br>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and<br>International Search Report Not Prepared by EPO or JPO ..... \$ 1,080.00<br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report has been prepared by the EPO or JPO ..... \$ 920.00<br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 770.00<br>International preliminary examination fee (37 CFR 1.482) paid to USPTO but claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$ 730.00<br>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$ 100.00 |  | <u>CALCULATIONS</u>             |           |          |
|   |  | <u>PTO USE ONLY</u>             |           |          |
| ENTER APPROPRIATE BASIC FEE AMOUNT =  |  | \$                              |           |          |
| Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).   |  | \$                              |           |          |
| Claims  | Number Filed                                       | Number Extra                    | Rate      |          |
| Total Claims  | -20 =  | 0                               | X \$18.00 | \$       |
| Independent Claims  | - 3 =  | 0                               | X \$86.00 | \$       |
| Multiple dependent claim(s) (if applicable)   |  | + \$290.00                      |           | \$       |
| TOTAL OF ABOVE CALCULATIONS =   |  |                                 |           | \$       |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  |  |                                 |           | \$       |
| Reply Ref: 06/30/2005 WILM LACE 0018570000<br>Date: 02/21/04 Name/Number: 10510640<br>FC: 9804 Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |  | SUBTOTAL =                      |           | \$       |
|   |  |                                 |           | \$130.00 |
| TOTAL NATIONAL FEE =  |  |                                 |           | \$       |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |  | +                               |           | \$       |
| 12/06/2004 LLANDGRA 00000061 10510640   |  | TOTAL FEES ENCLOSED =           |           | \$130.00 |
| 01 FC:1618 130.00 OP  |  | Amount to be refunded           |           | \$       |
|   |  | charged                         |           | \$       |
| <p>a. <input checked="" type="checkbox"/> A check in the amount of \$ 130.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please credit my Deposit Account No. 02-2135 in the amount of \$ _____ to cover the above fees.</p> <p>c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.</p>  |  |                                 |           |          |
| <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>  |  |                                 |           |          |
| <p>SEND ALL CORRESPONDENCE TO:</p> <p>Customer No. 6449</p> <p>G. Franklin Rothwell<br/>Rothwell, Figg, Ernst &amp; Manbeck<br/>1425 K St., N.W.<br/>Washington, D.C. 20005<br/>Phone: 202/783-6040</p> <p><i>G. Franklin Rothwell</i><br/>Signature</p> <p>G. Franklin Rothwell<br/>Name</p> <p>18,125<br/>Registration Number</p>   |  |                                 |           |          |